



## *Counselor, Social Worker & Marriage and Family Therapist Board*

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### **STATE OF OHIO**

### **COUNSELOR, SOCIAL WORKER AND MARRIAGE AND FAMILY THERAPIST BOARD**

**January 21, 2011**

Chairperson, Ms. Jennifer Riesbeck-Lee, at the LeVeque Tower, 50 West Broad Street, Columbus, OH, convened the regular meeting of the Board at 1:04 P.M. on January 21, 2011.

Members present were Mr. Timothy Brady, Mr. Robert Nelson, Ms. Mary Venrick, Mr. Don McTigue, Mr. Tommie Robertson, Mr. John Cranley, Dr. Otha Gilyard, Dr. Victoria Kress, Ms. Maureen Cooper, Dr. Terri Hamm, Dr. Deirdre Petrich and Dr. Thomas McGloshen. Absent: Ms. Peggy Volters and Mr. Stephen Polovick. Staff present: Mr. James Rough, Mr. William Hegarty, Ms. Patricia Miller, Ms. Marcia Holleman, Ms. Tracey Hosom and Mr. Doug Warne.

Also, present Mr. Henry Lustig, NASW, Ms. Caroline Flanigan, MSW1 Intern, Ms. Abbey Brockman, MSW1 Intern, Ms. Ilija Atanasovsk, MSW1 Intern, Ms. Christa Phillips, Columbus State Student, Ms. Jeannine Vegh, IMFT, Mr. Matt Swies, CSCC.

- I.** Discussion and approval of agenda with modifications. Mr. Robertson moved to approve the agenda, seconded by Dr. Kress. Carried.
- II.** Dr. Kress moved to accept the November 19, 2010, minutes, , seconded by Dr. Hamm. Carried.
- III. Executive Director Report presented by Mr. Rough:**

#### **Mr. Rough reported:**

1. The report that was given to the individual committees will be attached to the minutes.
2. Ms. Miller brought the provider list current.
3. Licensure fees were discussed and the move towards putting them onto the system.
4. Waiting on the budget before changing to the new licensure system.
5. Thanked staff for their hard work.
6. Dr. McGloshen asked if the new Governor will affect the board members, Mr. Rough stated no, it has not in the past, also our statute

states fifteen board members of various backgrounds. Mr. Rough reported when asked that his position is safe. Consolidation is a possibility but work is being done with the other Directors to keep the Boards autonomy and the Associations support is helpful.

7. The budget is on target, revenues are higher than this time last year. Funds will need transferred to the payroll fund due to cost saving days. Mr. Robertson asked about the FY 09/10 state audit, Mr. Rough reported the audit went very well and no items were reported that needed attention.

#### **IV. Investigative Report presented by Mr. Hegarty:**

##### **Mr. Hegarty reported:**

1. Thirty-six new cases, case numbers are down from this time last year.
2. Many places have been traveled too. Competency is the main complaint, for example unprofessionalism, and Cleveland is the area with the most complaints.
3. Thanked the Counselors for agreeing to a special meeting to accommodate future licensure hearings.
4. Thanked Committees for yesterday's hearings.

#### **V. Legal Update presented by Mr. Hegarty:**

##### **Mr. Hegarty reported:**

1. Attorney O'Carroll filed to dismiss a case in Cuyahoga County, regarding a request to release confidential Board records, the motion was denied and the case is stayed. Mr. Hegarty and Atty. O'Carroll met with everyone and are requesting the Judge look at the paperwork in camera. The Board is defending their statue. On hold till March 2011.

#### **VI. Marriage and Family Therapist Professional Standards Committee Report was presented by Mr. Robertson:**

##### **Mr. Robertson reported:**

1. Licensed two IMFT's, three MFT's and denied a request to take the licensure exam.
2. Discussed the Diversity rule, submitted some changes to Mr. Rough to present at the March meeting. A concern over taking Diversity but ethics not being in the content was discussed.
3. Discussed fees for continuing education.

4. Working to expand MFT licensure, working with OAMFT and Dr. Parr at the University of Akron. Thanked Ms. Hosom and Ms. Adorjan for their presentation at the University of Akron.
5. Discussed and denied the Emeritus status.

**VII. Counselor Professional Standards Committee Report was presented by Ms. Venrick:**

**Ms. Venrick reported:**

1. Approved sixty-four PC applications, thirty-four PCC applications.
2. Discussed exam results for November, thirty-three individuals took the NCE exam and twenty-six passed, seven failed, twenty-one individuals took the NCHME exam and twelve passed, nine failed. In December sixty-six individuals took the NCE exam, sixty-two passed, four failed, forty-one individuals took the NCHME exam, twenty-four passed and seventeen failed.
3. Approved five hundred counselor trainees.
4. Sent out one-hundred and twenty-five exam packets.
5. Closed nine cases, approved three consent agreements, one Goldman hearing.
6. Approved a course change at Ashland University.
7. Denied two independent studies that were submitted.
8. A hearing took place and the outcome was to deny the applicant.

**VIII. Social Work Professional Standards Committee Report was presented by Mr. Nelson:**

**Mr. Nelson reported:**

1. Approved five social work assistant requests, ninety-six LSW's and forty-six LISW's.
2. Approved four consent agreements, three Goldman hearings.
3. A hearing was held Thursday and the individual was approved for licensure based on moral character and a discussion took place on what is moral character.
4. Looked at rules from years ago and the barriers they created.
5. Denied the Emeritus status.
6. Mr. Robertson asked what moral character was considering that the Committee decided to license the individual. Mr. Nelson stated it was hard to define but would know it when they see it and make the decision. Mr. Robertson asked how it is a criterion, if it can't be defined. Mr. Nelson stated it was seen.
7. Mr. Hegarty added that depending on the time since rehabilitation or conviction is a part of the Board's consideration.

## **IX. Committee Reports**

### **Executive Committee**

Ms. Riesbeck-Lee Reported:

-Discussed three policies which will be voted on under new business.

### **CEU Committee**

Mr. Rough reported:

- Discussed proposed rule for continuing education fines and fees.
- The role of the new position if approved and drafting the job description.
- Pending status of INR, a continuing education provider.
- Positive results from the survey.
- Too much time being spent on one subject, for example Medicaid.
- Developing or looking into a metric calculation for the number of hours for an on-line program.
- Responsibilities of the CEU committee.

### **Investigations Ad Hoc Committee**

No Report.

## **X. Old Business:**

-Mr. McTigue moved to approve a fifteen dollar replacement fee for wall licensure certificates, seconded by Mr. Cranley. Carried.

### **CE Program and Provider Fees Discussion**

Mr. Robertson stated the need for the cost is the goal when looking at the potential new position. Mr. Rough stated that the cost is being incorporated into the job description. Mr. Robertson also stated protection of the quality of programs and quality assurance is important. Ms. Cooper stated licensee's hours need to be audited but programs also need to be audited and licensees need to notify the board of problems with programs. Ms. Riesbeck-Lee commented that it is difficult since what one individual finds to be a good program another might not, so how to get a quality evaluation or feedback. Ms. Cooper stated that evaluation forms and sign-in sheets can be requested. Mr. Rough stated negative comments can be misunderstood. Ms. Venrick stated some of the home study continuing education programs are not challenging and can be completed in much less time than the credit given. Mr. Robertson stated the level of the content could be listed.

Mr. Cranley stated that at November's board meeting an alternative for agencies offering free continuing education or non-profit agencies to not pay fees for the board's approval was not included in the language. Also questioned if the money goes back to the Board or in the general fund. Another concern is that there is no limit on what agencies can charge and to have an incentive for agencies to charge less, for example if the program cost is fifteen dollars or less then there is no cost for the Board to review the program. Agencies that are for profit can be charged a thousand dollar fee from the Board to have provider status and these revenues will be put towards the new position. Also if an agency offers a program for less than fifteen dollars then each time the program is offered the cost to licensees would have to be less than fifteen dollars or the Board would charge each time the agency offered the program. Dr. Gilyard stated his understanding was that the thousand dollar amount was not permitted.

Dr. Kress stated a concern about agencies not offering programs since they don't want to pay the fee.

Mr. Cranley stated the fee could be three or five-hundred but would protect not-for-profit agencies. If these revenues are not kept by the Board then charge a smaller fee.

Ms. Riesbeck-Lee added that this discussion has been going on since May and a decision needs to be made with actual amounts.

Mr. Rough stated he will put together information on what agencies charge and how we would track every time a program was offered.

Dr. Gilyard commented that with the economy how can these fees be justified.

Mr. Rough stated the cost is for the time of the Board so that licensure fees do not have to be increased.

Mr. Brady added that with the acceptance of NASW and ASWB approval how would that affect the Board, would agencies go to NASW and ASWB instead.

Dr. Petrich stated the larger companies could charge smaller fees to avoid the Board's fee.

Mr. Cranley stated he is fine with amending the language.

## **XI. New Business:**

-Dr. Gilyard moved to approve Policy 1.10 Confidential Personal Information, Policy 1.11 Breast Feeding and Policy 2.4 Staff duties modified for primary front desk back up and investigation compliance tracking and reporting, seconded by Mr. Robertson. Carried.

## **XII. Adjourned:**

Ms. Riesbeck-Lee reflected on the shooting in Arizona and the death of Gabe Zimmerman who held a masters degree in social work and injured Rep. Gabrielle Gifford. Individuals working in the public often go unnoticed and how their safety is at risk.

Mr. Robertson stated Mr. Rough's annual appraisal is due.

Ms. Riesbeck asked that everyone be careful and see you in the Spring.

Ms. Riesbeck-Lee declared the meeting adjourned at 2:15 p.m.

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Board Chair



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### **STATE OF OHIO**

### **COUNSELOR, SOCIAL WORKER AND MARRIAGE AND FAMILY THERAPIST BOARD**

#### **Executive Committee Meeting**

**January 20, 2011**

Chairperson, Ms. Jennifer Lee, at the LeVeque Tower, 50 West Broad Street, Columbus, OH, convened the executive committee meeting of the Board at 8:15 a.m. on January 20, 2011.

Members present were Mr. Robert Nelson, Ms. Mary Venrick, Rev. Otha Gilyard and Mr. Tommie Robertson. Staff present: Mr. James Rough

- Approve agenda and minutes - *agenda and minutes were approved*
- Planning Meeting Issues – identify items for follow up as assigned.
  - Items from Prior Year: *discussed with one change noted below*
    - Process of a statute change in work – Jim assigned
    - Review the budget and revenue projections – Jim assigned
    - Improve technology use where appropriate – Jim assigned
    - Improve the disciplinary process - task the Investigative Liaison Ad Hoc Committee – *Committee decided to make this committee a standing committee to meet as members need.*
  - Discipline Process issues are as follows: *Completed*
    - Draft of revised Custody Rule is on agenda for review. **Completed.** Still need discussion on educating the licensees, judges, attorneys and Guardian Ad Litem.
    - Draft of rule change for care of records in event of the death or disability of a licensee uses some language and concepts from the Psychology Board rule on that subject; and is ready for review at this meeting. **Completed**
  - Many Continuing Education issues are assigned to the CE Committee
  - Executive Committee to review revision to policy 1.10 Confidential Personal Information – reflects revised guidance from statewide working group input. **Completed**
  - Consumer Education – Jim will ask associations for input on current fact sheet on web site. OCA responded existing items are

- good. NASW may propose some changes. Are there other needs to be met? *Chair Lee recommended checking with FARB, Federation of Associations of Regulatory Boards, for similar issues of concern.*
- Civil Service exemption – *House Bill 62 was not passed and will need to be re-introduced in this General Assembly under the statute change issue*
  - Statute change – added to Section 4757.36(C)(11) in the proposed statute language the option to discipline a license for discipline in another state. **Completed**
- Budget issues: *Reviewed and approved*
    - IT items in process. DAS provided input for revised costs. We are consolidating Internet access with Engineers and Surveyors Board, which will end up reducing costs by \$845 monthly. Assuming everything goes as planned. We will have some up front installation costs as well.
    - Budget submitted for FY 2012 & 2013 we are waiting to see what the new Governor may modify.
      - Need decision on Fees for additional Wall Certificates - \$15 and CE Program and Provider fees \$30/\$125. Proposed rule changes to implement fees are attached. 4757-1-05 & 4757-1-07.
  - Review proposed rule change for fees in rule 4757-1-05 & 4757-1-07 for fines of CE Program and Providers who offer programs after the expiration of their approval. *Members to discuss in their Professional Standards Committee meetings.*
  - Review proposed change to rule 4757-5-02 to add diversity to paragraph (G). *Approved and embers to discuss in their Professional Standards Committee meetings.*
  - Policy Reviews: *Approved and motion needed for approval at the full board meeting.*
    - Policy 1.10 Confidential Personal Information
    - Policy 1.11 Breast Feeding
    - Policy 2.4 Staff Duties modified for primary front desk backup and investigation compliance tracking and reporting
  - Governor Kasich's Executive Order: *Reviewed and will require consideration as rule changes and processes are refined.*

Meeting adjourned at 8:55 a.m.

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Board Chair  
Jennifer Lee



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### **CEU Committee Meeting Minutes**

**January 20, 2011**

Chairperson, Mr. Steven Polovick, LSW, at the LeVeque Tower, 50 West Broad Street, Columbus, OH, convened the CEU committee meeting of the Board at 11:00 a.m. on January 20, 2011.

Members present were Ms. Maureen Cooper, PCC and Dr. Thomas McGloshen, IMFT, PCC. Staff present: Mr. James Rough, Executive Director, Ms. Rhonda Franklin, Renewal Coordinator, Ms. Patricia Miller, Continuing Education Coordinator.

1. Approve agenda and minutes from November
  
2. Planning Meeting issues – discuss priorities and identify follow-up.
  - a. Review proposed rule changes for implementing CE Program and Provider fees. *Discussed the draft rule and implementation issues. Plan to add Programs and Providers to the licensure system to simplify registration and renewal processes. We discussed if there were going to be fees, etc. that we would add license types for provider and programs so that they can be processed and renewed in that system.*
  
  - b. Review proposed rule for the use of fines for using provider status or program approval after it has expired. *Committee had a lot of discussion of the proposal and what fines are sufficient to help discourage operation without approval of the Program or Provider status. Will have further discussions at the next meeting.*
  
3. INR (Institute for Natural Resources): attorney scheduled to call Jim in January 2011. *Eric Plinke, attorney for INR, contacted Mr. Rough and they worked out an agreement that as to the Social Worker CEU program issues, INR will modify the statements in their brochures to conform with the Board rules, which will note the section on NASW or ASWB approval and not use the board's provider number. INR will work with Mr. Rough on the counselor CE program approval issues per the discussion of 1/20 2011.*
  
4. Survey results – see attached. *Discussed continued good comments on the CEU survey that is part of the renewal process online.*

5. How many hours if any on Medicaid are too many hours? *There is not a stated limit for any subject matter. However, for 10 or more hours there would need to be a mental health issue included otherwise it may not be pertinent to practice.*
  
6. With accepting 15 hours for counselors and all 30 hours on-line for social workers and MFT's is there a formula or standard of length, number of words that should be agreed upon so counting the number of hours has a standard to work from. (Previously brought up but no clear answer with which to distinguish a metric.) *Mr. Polovick & Mr. Rough will follow up with CE providers for input on any standards that may exist.*
  
7. Review of information sheet Ms. Miller provided with regard to the fines for providers/programs being offered after they expire and the effect it has with regard to accepting ASWB/NASW approval. *There was a great deal of discussion of the differences between ASWB/NASW program approvals and board approvals that cause providers and companies offering programs to drop board approval and use ASWB/NASW instead. Ms. Miller also provided details based on calls to providers who had not renewed to determine if they were offering programs with a lapsed provider number or chose to not renew, and to make sure they were keeping records for five years. Mr. Polovick and Ms. Miller will discuss the issue with the Social Worker Professional Standards Committee (SWPSC). The other professional standards committee members agreed that it was a SWPSC issue for that committee to decide.*
  
8. If CE provider and program fees are adopted and a new position created, what are the job's position description and responsibilities: include 50% to 100% CE audit of licensees and traveling and auditing CE programs? How will programs to be visited be chosen, who would pick them? *The committee discussed a number of issues including: increased percentage of licensee CE audits; audits of random selection of CE programs with review of comment sheets and telephone interviews with attendees; and in person review of programs for which issues are raised. Mr. Rough will draft a Position Description for that position for review by the committee.*
  
9. What is the purpose and authority of the CEU Committee? *The committee had extensive discussions of the issue and agreed that Mr. Rough will draft proposed language change to the Board's policy on committees. Mr. Polovick will work with Mr. Rough on the draft.*

Committee adjourned at 12:15 p.m.

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Mr. Steven Polovick  
CEU Committee Chair



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January 11, 2011

Executive Director's Report

### **Board Meeting Dates and Rooms**

#### **Thursday January 20, 2011**

Executive Committee – Executive Director's Office at 8:15 a.m.

SWPSC - Conference Room – 9:00 a.m.

MFTPSC - Conference Room – 9:00 a.m.

CPSC - Conference Room – 9:00 a.m.

CEU Committee – Executive Director's Office at 11:00 a.m.

Investigative Liaison Committee – Building Conference Room on Mezzanine at 11:00 a.m.

Annual Governor's Ethics Training - Mezzanine Conference Room at 2:00 p.m.

**SWPSC - Julie Jackson SWA Denial hearing January 20<sup>th</sup> at 9:15 a.m.**

**CPSC - Jaci Elizalde PCC Denial hearing January 20<sup>th</sup> at 1:30 p.m.**

#### **Friday January 21, 2011**

SWPSC - Conference Room – 9:00 a.m.

MFTPSC - Conference Room – 9:00 a.m.

CPSC - Conference Room – 9:00 a.m.

Board Meeting – LeVeque Tower 15<sup>th</sup> Floor Petroleum Board – 1:00 p.m.

### **Issues to Discuss**

I have contacted all of the associations concerning proposed statute. OCBHP has provided their input, which includes a request to delete the professional disclosure statements from section 4757.12 of the Revised Code. OAMFT, OCA and NASW Ohio Chapter have provided their inputs. We are looking for a sponsor to introduce the proposed statute changes.

I filed the budget for FY 2012 & 2013 on November 1, 2010. We received the information needed to modify our IT structure and save costs. We are switching from an AT&T T-1 line to a shared Time Warner Cable (TWC) broadband connection at 5MB with the Engineers Board. There is a modest up front cost to install, but we will pay \$465 monthly split between the boards

instead of \$450 a month each. Additionally, we are opting out of one service they provide, which will result in a reduction of \$315 a month over last FY and \$620 a month this FY. Implementation of this change will reduce our existing IT expense by \$532 monthly compared with last FY and provide better bandwidth for Internet connectivity. The Engineers board will also be using the document management software and share the annual maintenance costs of \$3000. Reducing our future costs by \$1500 annually.

We need to continue the discussion of fees for CE programs and provider status at this meeting in order to be ready to write the rule, if that fee is added to the budget bill. Enclosed are draft rule changes to implement that change. Rules 4757-1-05 for fees and 4757-1-07 for fines would both change.

The Auditor of State's office has completed their audit. The audit covered FY 2009 & 2010. Enclosed is a copy of the important two pages of the formal report. The key part states: The Ohio Counselor, Social Worker and Marriage & Family Therapist Board (the Board) is part of the primary government of the State of Ohio. While we have applied audit procedures to the Board, our procedures are designed to detect matters the preceding paragraph describes that could be material to the State's financial statements. Accordingly, these procedures may not detect misstatements, significant control deficiencies, or noncompliance that might be significant to the Ohio Counselor, Social Worker and Marriage & Family Therapist Board. However, **the procedures we performed at the Board did not identify matters we must report in the statewide report** *Government Auditing Standards* requires.

CT & SWT status for foreign students on student Visas: I sent an email to all Counselor and MSW programs in Ohio concerning CT & SWT status for foreign students holding F-1 & J-1 Visas to study in the U.S. The Visa status allows them to participate in Curricular Practical Training (CPT) defined as "an integral part of an established curriculum." We recently approved two CT registrations for foreign students. They cannot get licensed without a work Visa and a SSN.

The SWPSC has a retired licensee scheduled to speak to them about an Emeritus status that would allow the licensee to work only as an unpaid volunteer without requiring CE. See explanation attached. This is slightly different from the discussion of having an escrowed or inactive status to maintain your license without CEs until you need to start practice in the profession again.

The following rules are pending a Public Hearing on Thursday January 13, 2011 and then JCARR hearing in March.

New:

4757-1-07 Discipline actions that may include fines.

Revised:

4757-3-01 Change to definition of accepted CSWE MSW degrees in paragraph (M).

4757-5-02 Responsibility to clients/consumers of services as to competency in supervision.  
New paragraph (I) in rule.

4757-5-03 Removes bartering from Standards of ethical practice and professional conduct: multiple relationships.

4757-5-08 Removes bartering from Standards of ethical practice and professional conduct: payment for services.

- 4757-5-09 Adds a requirement for independent practitioners to identify a keeper of records in the event of their untimely ability to take care of the records themselves.
- 4757-6-01 Provides more detailed guidance when a licensee with a client involved in a custody, visitation and/or guardianship case is forced into court.
- 4757-9-04 Provides licensees with the opportunity to bank up to twelve hours from a prior renewal for a current renewal.
- 4757-9-05 Changes the continuing education provider section for university programs in counseling, social work or marriage and family therapy to align with other providers.
- 4757-13-07 Removes inappropriate reference to CACREP accreditation standards in the rule.
- 4757-25-02 Defines the examination requirement for a lapsed Marriage and Family Therapist or Independent Marriage and Family Therapist licensee applying for a new license.

Enclosed is a Memo that proposes change to paragraph (G) of rule 4757-5-02 adding a paragraph on diversity to our ethics rules under the existing non-discrimination paragraph. This change would clearly allow the biennial requirement for renewal of 3 hours of ethics CEUs to include training in diversity. This item is on the agenda for the Executive Committee to discuss at this meeting.

Enclosed is a draft change to rule 4757-19-01 to change the requirements in the rule for LSW related degree for applicants previously licensed as LSWs.

Enclosed is a memo for the CPSC for the NCE & NCMHCE exam results for calendar year 2010.

Executive Order #1 from Governor Kasich, see attached.

Highlights: ...

1. d. Develop a process for requiring agencies to determine the real or potential economic impact on small businesses from existing or proposed regulations. The Lieutenant Governor shall have the authority, in her sole discretion, to require the agency to conduct a public hearing to assist in determining the economic impact of any regulation or group of regulations.
- g. Conduct, or contract to have conducted, an inventory of existing agency roles and regulations to determine those that economically impact small businesses. This inventory shall serve, in part, as a resource to determine which roles, when subject to five-year review by the Joint Committee on Agency Rule Review (JCARR), will also be subject to review by the CSI Office.
- i. Make recommendations regarding the restructuring of and/or the responsibilities of divisions or functions of State government that relate to small business that duplicate the authorities granted to the Lieutenant Governor by this Executive Order.
2. e. Attempt, in all rules and regulations, to properly balance the critical objectives of the regulation and the costs of compliance by the regulated parties. The agency should consider, as early as possible in the development or review of regulations, the perspectives of small businesses. The agency should promote transparency, consistency, predictability, and flexibility in regulatory activities. All efforts shall be made to choose the regulation that accomplishes the regulatory objective and is least burdensome on small businesses.

- f. Provide transparent and measurable outcomes in each regulation to help the agency and the public determine whether the regulation is effective. The agency should continually evaluate its regulatory framework to ensure that it is accomplishing its regulatory objectives.

Executive Director Work Plan: review the results of the Planning Meeting and issues for future resolution.

- 2010 Planning Meeting Issues were discussed and assigned as follows:
  - Items from Prior Year:
    - Process of a statute change in work – Jim assigned
    - Review the budget and revenue projections – Jim assigned
    - Improve technology use where appropriate – Jim assigned
    - Improve the disciplinary process - task the Investigative Liaison Ad Hoc Committee
  - Discipline Process issues are as follows:
    - Draft of revised Custody Rule is on agenda for review. **Completed.** Still need discussion on educating the licensees, judges, attorneys and Guardian Ad Litem.
    - Draft of rule change for care of records in event of the death or disability of a licensee uses some language and concepts from the Psychology Board rule on that subject; and is ready for review at this meeting. **Completed**
  - Many Continuing Education issues are assigned to the CE Committee
  - Executive Committee to review policy 1.8 Establishment of Working Committees of the Board to ensure it meets the Board's needs at next meeting - **Completed**
  - Consumer Education – Jim will ask associations for input on current fact sheet on web site. OCA responded existing items are good. NASW may propose some changes. Are there other needs to be met?
  - Civil Service exemption – **House Bill 62 died Sine Die** – all bills not passed by the end of the General Assembly are null and void.
  - Statute change – added to Section 4757.36(C)(11) in the proposed statute language the option to discipline a license for discipline in another state. **Completed**

Sincerely,

James R. Rough  
Executive Director



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Members present were Mr. Timothy Brady, Mr. Robert Nelson, Ms. Peggy Volters, Ms. Mary Venrick, Mr. Steven Polovick, Mr. Don McTigue, Mr. Tommie Robertson, Mr. John Cranley, Dr. Otha Gilyard, Dr. Victoria Kress, Ms. Maureen Cooper and Dr. Terri Hamm. Absent: Dr. Deirdre Petrich and Dr. Thomas McGloshen. Staff present: Mr. James Rough, Mr. William Hegarty, Ms. Patricia Miller, Ms. Marcia Holleman, Ms. Tracey Hosom and Ms. Tamara Tingle. Also, present Mr. Henry Lustig, NASW, Ms. Jennie Daniels, OSU Student, Atty. Leah O'Carroll, Asst. Atty. General.

Ms. Riesbeck-Lee welcomed new board members Ms. Cooper and Dr. Hamm and re-appointed board members Mr. Nelson and Dr. Gilyard.

- I.** Discussion and approval of agenda. Mr. Cranley moved to approve the agenda, seconded by Mr. Robertson. Carried.
- II.** Dr. Gilyard moved to accept the September 17, 2010, minutes, with corrections, seconded by Ms. Volters. Carried.
- III. Executive Director Report presented by Mr. Rough:**

**Mr. Rough reported:**

1. Welcomed new board members and re-appointed board members. Glad to have everyone.
2. The staff is working well, Ms. Kreinbrink was hired by OSU and while we are sad to lose her, this is a good opportunity for her. Twenty-nine applications have been received so far for the position and the window will close Wednesday. It was a great help that Ms. Kreinbrink was

licensed as a social worker so bonus points will be given if an applicant is licensed.

3. Distributed the number of licensees report, showing an increase in number of licensees by over 3,000 in the last six years. FY 2010 budget report was discussed and the budget so far for FY 2011. The cost of the two year audit was budgeted under that title with an estimated amount. Details of the budget were explained for new board members, and the budget is on schedule. Mr. Robertson questioned if the ethics training for board members was reflected on the budget report, Mr. Rough stated there is no expense for the ethics training. Ms. Cooper questioned what the credit card fee was for and Mr. Rough explained the fee covered online applications and renewals for licensees. Ms. Venrick asked where any extra money in the budget goes and was informed it goes back to the state.

#### **IV. Investigative Report presented by Mr. Hegarty:**

##### **Mr. Hegarty reported:**

1. Thanked the Investigative Liaisons Mr. Brady, Mr. Nelson and Dr. Kress for their hard work and for Ms. Venrick also helping out.
2. Ms. Hosom and Ms. Tingle have both been busy with investigations.
3. Competency has been the main complaint and Cincinnati the area most complaints have been received from.
4. Thirty-five new cases since the last meeting, which is slightly down from this time last year.
5. Counselor denial hearing in January, maybe a second hearing in the afternoon for the social workers. Counselor hearings are booked thru July 2011.
6. The staff has been traveling to many areas including Akron, Athens, Canton, Cincinnati, Cleveland, Columbus, Delaware, Mansfield, Marysville, Moraine, Newark, Rocky River, Tallmadge, West Chester and Xenia.

#### **V. Legal Update presented by Atty. Leah O'Carroll**

##### **Atty. O'Carroll reported:**

1. A notice of appeal regarding confidentiality of investigation materials is currently at the 8<sup>th</sup> District Court of Appeals. A discussion with the board on our language in the statute regarding confidentiality proceeded. The judge ordered the Board to provide investigation materials despite the confidentiality in the statute and without stating why the statute should be ignored.

#### **VI. Social Worker Professional Standards Committee Report was presented by Mr. Nelson:**

##### **Mr. Nelson reported:**

1. Licensed LSW's, LISW's and SWA's.
2. Related degree hearing took place, two consent agreements were approved, and six Goldman hearings were reviewed.
3. Received licensee complaints regarding the boring content of many ethics trainings and discussed a clearer wording of other topics such as cultural diversity that meet the ethics requirement and that the word Ethics does not need to be in the title.
4. Also discussed disclosure statements, fees and continuing education.

**VII. Marriage and Family Therapist Professional Standards Committee Report was presented by Mr. Robertson:**

**Mr. Robertson reported:**

1. Licensed one MFT, four IMFT's and reviewed five requests to take the licensing exam.
2. Discussed the draft rules and were okay with them, also discussed fees for programs and providers
3. Hoping to expand the number of MFT/IMFT licensees. Dr. Petrich and Ms. Adorjan will travel to the University of Akron regarding the MFT program.
4. Discussed MFT training status and Medicare agency reimbursement, working on this for when the statute opens in the next couple years.

**VIII. Counselor Professional Standards Committee Report was presented by Ms. Venrick:**

**Ms. Venrick reported:**

1. Licensed seventy-five PC's, twenty-nine PCC's, and reviewed continuing education.
2. Closed ten cases, approved one remediation plan, answered ten correspondences, some regarding the fifteen hour limit for online programs, and reviewed five Goldman hearings.
3. Met with Ohio Rehabilitation Association regarding the denial of their provider status.
4. Approved changes to Bowling Green State University program.
5. Nineteen individuals took the NCE and NCMHC exam, ten passed and nine failed.
6. Discussed the language to be used when supervisors are signing off.

**IX. Committee Reports**

**CEU Committee**

Mr. Polovick reported that the committee discussed:

- Survey monkey results for Continuing Education programs continues to be excellent.
- Program and provider fees, and the amount needed to support a new staff person.
- The topic of spirituality.
- The continuing issue with the provider INR, they are not responding appropriately to letters and phone calls regarding inappropriate programs offered to counselors. If no appropriate response is received by January 2011, their provider status may be revoked. The NASW approval causes a problem since INR has their approval and if the board revokes their social work provider status their programs would still be accepted through NASW.
- Ms. Riesbeck-Lee stated she felt positive about agencies coming in to discuss denied provider status and program requests.

### **Investigations Ad Hoc Committee**

No Report.

### **Executive Committee**

Ms. Riesbeck-Lee Reported:

- Policy 1.8 combined the Personnel and Executive Committee into only the Executive Committee. Approved the policy as it stands and discussed making the Ad Hoc Investigative Liaison Committee into a standing committee.

## **X. Old Business:**

### **Provider and Program Fees**

- Ms. Volters stated the purpose of the fee is to fund the new position whatever the decided amount.
- Mr. Rough suggested either everyone has a fee or no fee, exceptions become difficult, suggested amounts of \$25.00 per program and \$100.00 per provider request and renewal every two years.
- Dr. Gilyard requested the decision be sensitive to the economy.
- Mr. Cranley stated if no fee for attendees is charged then no fee to approve their program, requesting this exception even if it creates administrative difficulties. Also suggested if an agency is charging a fee below the hourly cost to them then the board would charge no fee.
- Mr. Robertson stated this is a discussion and not a motion but we need to move forward.
- Mr. Cranley calculated the money to be made through this action.
- Dr. Gilyard requested the public be told the justification for charging this fee.
- Mr. Polovick asked how many large providers the board had at this time, Ms. Miller estimated maybe twenty out of six hundred.

- Ms. Riesbeck-Lee stated that quality of the programs is the main focus and not the price.
- Ms. Miller added that since the Board accepts NASW approval if the fee is higher than what they charge it could cause a competitive program approval request.
- Mr. Cranley requested Mr. Rough put the proposal out there.
- Mr. Polovick stated when it came to fines the amount will be different.
- Mr. Rough stated if offering a program after the expiration the fine will be great.
- Ms. Cooper asked where the money from the fines will go and Mr. Rough stated they would be deposited in the Board's fund at the Treasurer's office. Funds in excess of the Board's appropriation may be taken for General Revenue expenses.

**XI. New Business:**

-None

**XII. Adjourned:**

Ms. Riesbeck-Lee thanked the Board for having her attend the ASWB conference in New Orleans last week, there was little cost to the Board and the information was very beneficial. The topics included changes to the licensure exam, a new exam vendor, security and palm technology.

Thanked Ms. Kreinbrink for her hard work and dedication and expressed appreciation for her work at the board and wished her well at her new position with Ohio State University and feels Ms. Kreinbrink will represent the board well.

Ms. Riesbeck-Lee wished everyone a Happy Holiday.

Ms. Riesbeck-Lee declared the meeting adjourned at 2:10 p.m.

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Board Chair

# Draft FY 2012-2013 CE Program & Provider Fees

## Counselor, Social Worker & Marriage and Family Therapist Board (CSW)

The Board needs the following changes to Section 4757.41 of the Revised Code in the budget to implement new fees. Following are draft changes.

### § 4757.31. Fees; deposit of receipts; vouchers.

(A) Subject to division (B) of this section, the counselor, social worker, and marriage and family therapist board shall establish, and may from time to time adjust, fees to be charged for the following:

- (1) Examination for licensure as a professional clinical counselor, professional counselor, marriage and family therapist, independent marriage and family therapist, social worker, or independent social worker;
- (2) Initial licenses of professional clinical counselors, professional counselors, marriage and family therapists, independent marriage and family therapists, social workers, and independent social workers, except that the board shall charge only one fee to a person who fulfills all requirements for more than one of the following initial licenses: an initial license as a social worker or independent social worker, an initial license as a professional counselor or professional clinical counselor, and an initial license as a marriage and family therapist or independent marriage and family therapist;
- (3) Initial certificates of registration of social work assistants;
- (4) Renewal and late renewal of licenses of professional clinical counselors, professional counselors, marriage and family therapists, independent marriage and family therapists, social workers, and independent social workers and renewal and late renewal of certificates of registration of social work assistants;
- (5) Verification, to another jurisdiction, of a license or registration issued by the board;
- (6) Continuing education programs offered by the board to licensees or registrants.
- (7) Replacement licensure wall certificates for licensees;
- (8) Approval of continuing education program that meets the requirements for licensure renewal per section 4757.33 of the Revised Code;
- (9) Approval of continuing education provider status to provide continuing education programs that meet the requirements for licensure renewal per section 4757.33 of the Revised Code.

(B) The fees charged under division (A)(1) of this section shall be established in amounts sufficient to cover the direct expenses incurred in examining applicants for licensure. The fees charged under divisions (A)(2) to ~~(9)(6)~~ of this section shall be nonrefundable and shall be established in amounts sufficient to cover the necessary expenses in administering this chapter and rules adopted under it that are not covered by fees charged under division (A)(1) or (C) of this section. The renewal fee for a license or certificate of registration shall not be less than the initial fee for that license or certificate. The fees charged for licensure and registration and the renewal of licensure and registration may differ for the various types of licensure and registration, but shall not exceed one hundred twenty-five dollars each, unless the board determines that amounts in excess of one hundred twenty-five dollars are needed to cover its necessary expenses in administering this chapter and rules adopted under it and the amounts in excess of one hundred twenty-five dollars are approved by the controlling board.

## Draft FY 2012-2013 CE Program & Provider Fees

(C) All receipts of the board shall be deposited in the state treasury to the credit of the occupational licensing and regulatory fund. All vouchers of the board shall be approved by the chairperson or executive director of the board, or both, as authorized by the board.

### Proposed rule changes that follow adoption of statute change.

4757-5-01

#### **4757-1-05 License fees.**

License fees shall be established by the board in amounts not to exceed the maximum allowable under section 4757.31 of the Revised Code. Fees are subject to change by action of the board, the controlling board, and/or the general assembly.

(A) Fees shall be paid by credit card, certified check or money order made payable to “Treasurer, State of Ohio.”

(B) Fees shall be submitted to the board office at the time of the initial application. Renewal fees shall be the same as initial licensure or registration fees.

(C) Fees are not refundable.

(D) Fees for a two-year license or registration of title are as follows. Late renewal shall incur an additional fee of forty dollars except social worker assistant late renewal shall be twenty dollars.

(1) For professional clinical counselor the fee is seventy-five dollars;

(2) For professional counselor the fee is sixty dollars;

(3) For independent social worker the fee is seventy-five dollars;

(4) For social worker the fee is sixty dollars;

(5) For social worker assistant the fee is forty dollars.

(6) For social work temporary license the fee is twenty dollars.

(7) For independent marriage and family therapist the fee is seventy-five dollars;

(8) For marriage and family therapist the fee is sixty dollars;

(9) For marriage and family therapist temporary license the fee is twenty dollars.

(E) Board provided continuing education program fees shall be ten dollars per continuing education hour. The board laws and rules examination for initial licensure shall be ten dollars.

(F) Licensees requesting written board certification of their licensure to other states or entities shall pay a twenty-five dollar fee for that verification.

(G) Licensees requesting replacement wall certificates for name changes or any other reason shall pay a fifteen dollar fee for that wall certificate.

(H) Applicants per rule 4757-9-05 for continuing education program approval shall pay a thirty dollar fee for each application.

(I) Applicants per rule 4757-9-05 for continuing education provider approval shall pay a one hundred and twenty-five dollar fee for each application.

### Proposed changes to 4757-9-05 to implement fees for continuing education programs and provider status.

#### **(B) Guidelines for continuing education program approval:**

(2) Approved programs may be sponsored by departments of accredited educational institutions; national, regional, state, or local professional organizations or associations; public or private human service agencies or organizations; private consultants; or individuals. In order to obtain approved status from the board, a program shall meet the following requirements:

## Draft FY 2012-2013 CE Program & Provider Fees

(a) through (m)

(n) Applicants shall use the form prescribed by the board for approval of the continuing education program. Applicants shall pay a fee of thirty dollars for each program submitted and any renewals.

(o) Approved programs offered prior to approval or after the expiration date may result in fines to the individual or organization involved and shall be paid prior to renew or approval of a different continuing education program for that individual or organization.

**(C) Guidelines for provider approval:**

**(6)** The board may grant provider status, which may include but are not limited to: counseling, social work and marriage and family therapist degree programs, national regional, state, or local professional organizations, public or private human service agencies, private consultants or individuals. The applicant for approved provider status shall meet the following requirements:

(a) through (m)

(n) Applicants shall use the form prescribed by the board for approval of the continuing education provider status. Applicants shall pay a fee of one hundred and twenty-five dollars for each provider application submitted or renewed. Initial provider status is valid for one year from date of approval and renewals are valid for two years.

(o) Approved programs offered prior to approval or after the expiration date may result in fines to the individual or organization involved and shall be paid prior to renewal or approval of a new continuing education provider status for that individual or organization.

Currently there are:

2010 CE Programs approved for one year terms: 1192 in 2010 at \$30 = \$35,760

2010 CE Providers approved for one year initially and then for two year increments:

- 212 renewals at \$125 = \$26,500
- 42 new providers at \$125 = \$5,250

Programs	1192	25	29800
Providers-Renewed	212	100	21200
Providers-New	42	100	4200
		Total	55200

Programs	1192	30	35760
Providers-Renewed	212	125	26500
Providers-New	42	125	5250
		Total	<b>67510</b>

Budget for new employee: \$66,740

Proposed Fee rule change 4757-1-07

New paragraph (G) existing paragraph (G) will change to (H).

## Draft FY 2012-2013 CE Program & Provider Fees

(G) Offering Continuing education courses after the expiration date of the program approval or provider status may result in a fine, which shall be paid prior to renewal of a program or provider status or approval of additional programs or provider status for the person or entity offering the courses. Fines shall be based on the number of weeks after the program or provider status expired that courses were continued to be offered.

- (1) Courses offered up to two weeks after the expiration date shall result in a letter of caution;
- (2) Courses offered more than two weeks and up to eight weeks after expiration shall result in a fine of two hundred fifty dollars for each course offered;
- (3) Courses offered more than eight weeks after expiration shall result in a fine of five hundred dollars for each course offered.

We do not have any data on how often courses are offered after the expiration date and as such have no practical way to estimate the amount of fines generated.



Mary Taylor, CPA  
Auditor of State



# Mary Taylor, CPA

Auditor of State

## MANAGEMENT LETTER

Ohio Counselor, Social Worker and Marriage & Family Therapist Board  
50 West Broad Street, Suite 1075  
Columbus, Ohio 43215-5919

We are auditing the basic financial statements of the State of Ohio in accordance with *Government Auditing Standards* as of and for the year ended June 30, 2010, and will issue our opinion thereon.

*Government Auditing Standards* require us to report significant internal control deficiencies, fraud, and illegal acts (including noncompliance with laws and regulations), and also abuse and noncompliance with contracts and grant agreements that could directly and materially affect the determination of the State of Ohio's financial statement amounts. We will issue the required report on these matters as of and for the year ended June 30, 2010.

The Ohio Counselor, Social Worker and Marriage & Family Therapist Board (the Board) is part of the primary government of the State of Ohio. While we have applied audit procedures to the Board, our procedures are designed to detect matters the preceding paragraph describes that could be material to the State's financial statements. Accordingly, these procedures may not detect misstatements, significant control deficiencies, or noncompliance that might be significant to the Ohio Counselor, Social Worker and Marriage & Family Therapist Board. However, the procedures we performed at the Board did not identify matters we must report in the statewide report *Government Auditing Standards* requires.

In addition to any matters we would have communicated to you in the reports describe above, we remained alert throughout for opportunities to enhance compliance, internal controls, and operating efficiencies. We are pleased to report there are no instances of noncompliance or internal control weaknesses we believe should be communicated to you.

The scope of our audit included testing procedures related to compliance with certain state laws and regulations, state non-payroll disbursements, payroll disbursements, revenue, and annual inventory certifications for the period July 1, 2008 through June 30, 2010.

On December 22, 2010, we provided a copy of this management letter to the Ohio Counselor, Social Worker and Marriage & Family Therapist Board's management. The Board's management chose not to have an exit conference to discuss the contents of this letter and not to respond to the management letter. This letter is intended for the information and use of State of Ohio management and the Ohio General Assembly and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in cursive script that reads "Mary Taylor".

**Mary Taylor, CPA**  
Auditor of State

December 22, 2010

88 E. Broad St. / Tenth Floor / Columbus, OH 43215-3506  
Telephone: (614) 466-3402 (800) 443-9275 Fax: (614) 728-7199  
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**Counselor, Social Worker & Marriage and Family Therapist Board**  
50 West Broad Street, Suite 1075  
Columbus, Ohio 43215-5919  
614-728-5161 Fax 614-728-7790  
jim.rough@cswb.state.oh.us

# Memo

To: Executive Committee Members  
From: James Rough  
Date: December 16, 2010  
Re: Diversity & Ethics Rules

---

Since our planning meeting in July and in our recent meetings, diversity has been a subject of discussion. The Social Worker Professional Standards Committee (SWPSC) discussed allowing diversity CE hours to count toward the three hours of ethics.

After reading minutes and noting the lack of reference to diversity in the Board's ethics rules, I reviewed the ethical codes of AAMFT, ACA and NASW.

Attached is a first draft of a modification to the Board's ethics rule 4757-5-02 to better include diversity specifically in our ethics rules. There may be a better place to address it, but I thought our non-discrimination paragraph of rule 4757-5-02 was an appropriate place to make that change.

4757-5-02 Proposed change for diversity:

(G) Responsibility to clients/consumers of services as to discrimination and understanding of diversity:  
Counselors, social workers, and marriage and family therapists shall not practice, condone, facilitate or collaborate with any form of discrimination on the basis of race, ethnicity, national origin, color, sex, sexual orientation, age, marital status, political belief, veteran status, or mental or physical challenge.

(1) Counselors, social workers, and marriage and family therapists shall not practice, condone, facilitate or collaborate with any form of discrimination on the basis of race, ethnicity, national origin, color, sex, sexual orientation, age, marital status, political belief, veteran status, or mental or physical challenge.

(2) Counselors, social workers, and marriage and family therapists shall obtain education about and seek to understand the nature of social diversity with respect to race, ethnicity, national origin, color, sex, sexual orientation, gender identity or expression, age, marital status, political belief, religion, immigration status, and mental or physical disability.

## **ACA Code of Ethics:**

Association members recognize diversity and embrace a cross-cultural approach in support of the worth, dignity, potential, and uniqueness of people within their social and cultural contexts.

### **B.1. Respecting Client Rights**

#### **B.1.a. Multicultural/Diversity**

##### **Considerations**

Counselors maintain awareness and sensitivity regarding cultural meanings of confidentiality and privacy. Counselors respect differing views toward disclosure of information. Counselors hold ongoing discussions with clients as to how, when, and with whom information is to be shared.

#### **B.5.b. Responsibility to Parents and**

## **Legal Guardians**

Counselors inform parents and legal guardians about the role of counselors and the confidential nature of the counseling relationship. Counselors are sensitive to the cultural diversity of families and respect the inherent rights and responsibilities of parents/guardians over the welfare of their children/charges according to law. Counselors work to establish, as appropriate, collaborative relationships with parents/guardians to best serve clients.

### **F.2.b. Multicultural Issues/Diversity in Supervision**

Counseling supervisors are aware of and address the role of multiculturalism/diversity in the supervisory relationship.

### **F.6.b. Infusing Multicultural Issues/Diversity**

Counselor educators infuse material related to multiculturalism/diversity into all courses and workshops for the development of professional counselors.

### **F.11.c. Multicultural/Diversity Competence**

Counselor educators actively infuse multicultural/diversity competency in their training and supervision practices. They actively train students to gain awareness, knowledge, and skills in the competencies of multicultural practice. Counselor educators include case examples, role-plays, discussion questions, and other classroom activities that promote and represent various cultural perspectives.

## **NASW Code of Ethics**

### **Ethical Principles**

**Value:** *Social Justice*

**Ethical Principle:** *Social workers challenge social injustice.*

Social workers pursue social change, particularly with and on behalf of vulnerable and oppressed individuals and groups of people. Social workers' social change efforts are focused primarily on issues of poverty, unemployment, discrimination, and other forms of social injustice. These activities seek to promote sensitivity to and knowledge about oppression and cultural and ethnic diversity. Social workers strive to ensure access to needed information, services, and resources; equality of opportunity; and meaningful participation in decision making for all people.

**Value:** *Dignity and Worth of the Person*

**Ethical Principle:** *Social workers respect the inherent dignity and worth of the person.*

Social workers treat each person in a caring and respectful fashion, mindful of individual differences and cultural and ethnic diversity. Social workers promote clients' socially responsible self-determination. Social workers seek to enhance clients' capacity and opportunity to change and to address their own needs. Social workers are cognizant of their dual responsibility to clients and to the broader society. They seek to resolve conflicts between clients' interests and the broader society's interests in a socially responsible manner consistent with the values, ethical principles, and ethical standards of the profession.

### **1.05 Cultural Competence and Social Diversity**

(c) Social workers should obtain education about and seek to understand the nature of social diversity and oppression with respect to race, ethnicity, national origin, color, sex, sexual orientation, gender identity or expression, age, marital status, political belief, religion, immigration status, and mental or physical disability.

### **6.04 Social and Political Action**

(c) Social workers should promote conditions that encourage respect for cultural and social diversity within the United States and globally. Social workers should promote policies and practices that demonstrate respect for difference, support the expansion of cultural knowledge and resources, advocate for programs and institutions that demonstrate cultural competence, and promote policies that safeguard the rights of and confirm equity and social justice for all people.

## **AAMFT Code of Ethics**

Diversity as a word is not in the code of ethics of AAMFT.

## Related Degree Applicants with Prior Licensure in Ohio

The SWPSC at its November 2010 meeting requested a draft rule change to allow previously licensed Ohio LSWs who had related degrees to be licensed again without a 400 hour field work experience.

### 4757-19-01 Proposed Changes

- (2) Have at least a bachelor's degree from an accredited educational institution in a program closely related to social work on or before October 10, 1992.
- (a) "A program closely related to social work" means a program that shows evidence of coursework totaling twenty semester hours, or thirty quarter hours, in three areas from paragraphs (i) through (iv) below and field work required in paragraph (v) with the exception of previously licensed Ohio social workers who let their license lapse, who shall be deemed to have met the requirements for field work in paragraph (v):
- (i) Human development and behavior: The social psychological, and physiological growth of an individual, and effects on the growth of a personality in a social environment;
  - (ii) Methods of social intervention: Casework, groupwork, community organization and practice, social research and administration;
  - (iii) Social welfare and policy: The history of social welfare and policy;
  - (iv) Social work theory: The study of the principles which demonstrate various types of socio-psychological interventions;
  - (v) Field work: Not less than four hundred hours of supervised practicum and/or field experience, with a primary focus on social intervention, structured or regulated by a department or program in the behavioral or social sciences.
- (b) An applicant with a degree from a program closely related to social work shall demonstrate that the applicant's coursework meets the educational requirements contained in this rule. If a course title does not clearly indicate the content area of coursework named in this rule, the applicant shall provide additional evidence or information about the applicant's coursework to the board. The committee will not accept introductory or survey courses in other disciplines towards meeting the related degree coursework requirements.
- ~~(i) The committee will not accept introductory or survey courses in other disciplines towards meeting the related degree coursework requirements.~~
- (c) All applicants with degrees conferred after October 10, 1992 shall have bachelor's, master's or doctoral degrees in social work from accredited educational institutions.



## **Counselor, Social Worker & Marriage and Family Therapist Board**

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# Memo

To: All Counselor Programs  
From: James Rough, Executive Director  
Date: December 23, 2010  
Re: 2010 NCMHCE & NCE Pass Rates

---

Attached are graphs of NCMHCE & NCE examination results by the counselor programs in Ohio, Out-of-State examinees & all takers. The last two columns are the overall average for the state of Ohio designated as "OH" and then an "All" designation which includes Out-of-State applicants for Ohio licensure. Each letter represents a school and OS represents the out-of-state exam scores. The percentages reflect three categories as follows.

1. The red bar shows overall pass rates for Exams by Ohio schools (A thru V – NCMHCE & A thru V - NCE), OS (Out-of-State), All exams taken & Ohio examinees.
2. The purple bar shows first time pass rates for Exams by Ohio schools (A thru V – NCMHCE & A thru V - NCE), OS (Out-of-State), All exams taken & Ohio examinees.
3. The cream bar shows # Individuals pass rates for Exams by Ohio schools (A thru V – NCMHCE & A thru V - NCE), OS (Out-of-State), All exams taken & Ohio examinees.
4. OS includes all non-Ohio schools examinees.
5. "# people" is the pass rate taking out the first time failures who subsequently passed within 2010 examination year.

The national pass rate for the NCE is about 78%; Ohio's is 88.0% for all ; 91.3% for first time takers; and 45.0% for retakes. The national pass rate for the NCMHCE was not provided; Ohio's is 65.1% for all; 68.9% for first time takers; and 53.8% for retakes. I asked why NBCC thinks Ohio does so well on the NCE and got the following: There can be many variables. Ohio may weed out lesser candidates before the exams are administered. Ohio programs may be doing a better job of educating the students. Ohio programs may include more hours (60 vs. 48). Ohio counselor educators may better prepare the students for the exam. Ohio programs may weed out lesser candidates during the admission process. Ohio students may spend more time and money on preparing for the exam. Exam school data is self identified by examinee.

I will forward separately to each school their corresponding Letter for the NCMHCE & NCE results, if I can identify to whom they should be sent. If I am not sure, I will email a request for the appropriate person to receive the results. Please keep in mind that the number of examinees for all schools are small enough that none of the data is statistically significant.

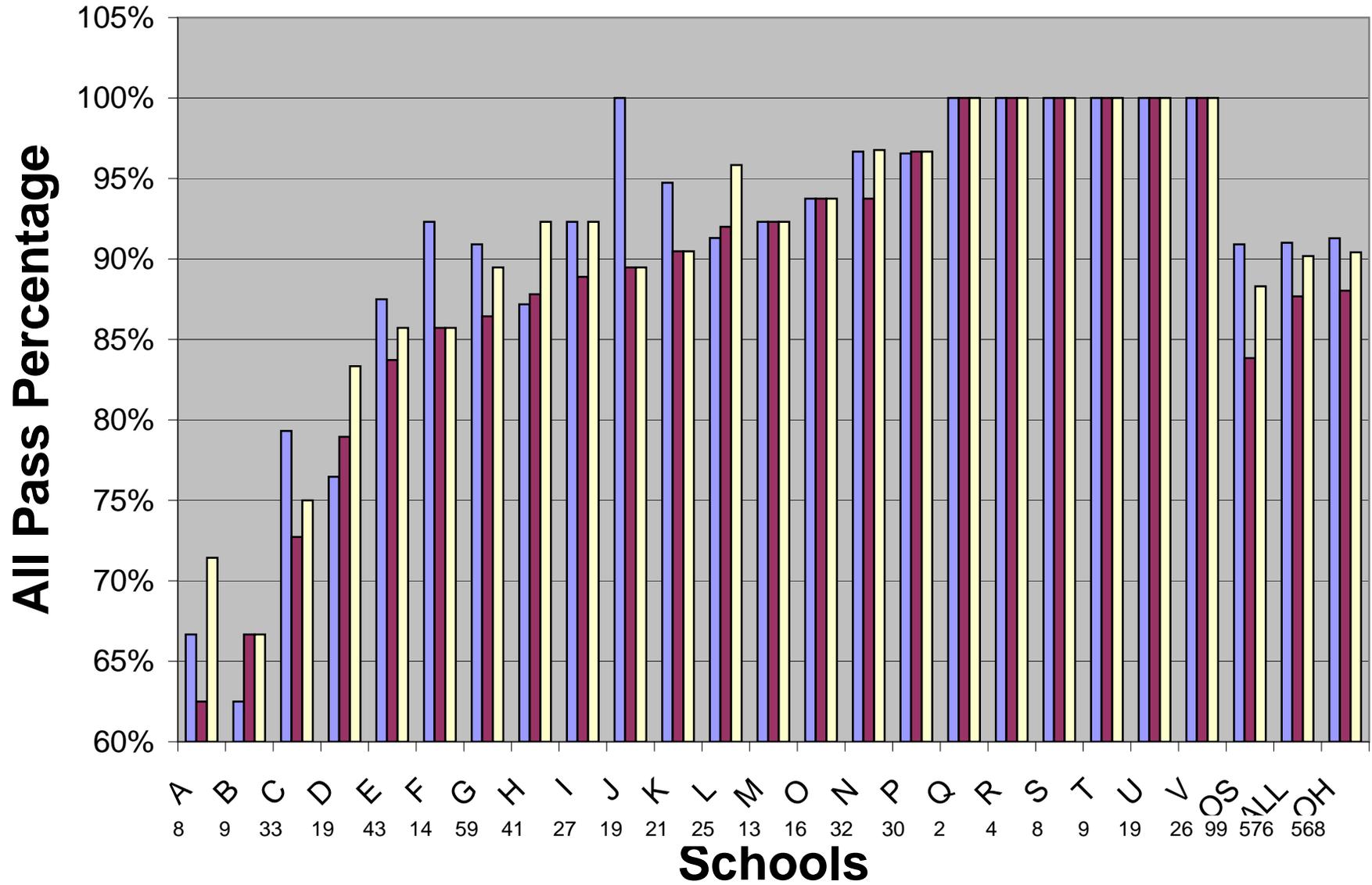
For the NCE, the schools as listed had the following number of exams taken in order from A to OH:  
8, 9, 33, 19, 43, 14, 59, 41, 27, 19, 21, 25, 13, 16, 32, 30, 2, 4, 8, 9, 19, 26, 99, 576, 568

For the NCMHCE, the schools as listed had the following number of exams taken in order from A to OH:  
9, 5, 17, 4, 8, 16, 22, 25, 16, 29, 10, 20, 7, 11, 9, 18, 5, 5, 12, 1, 1, 5, 34, 289, 255

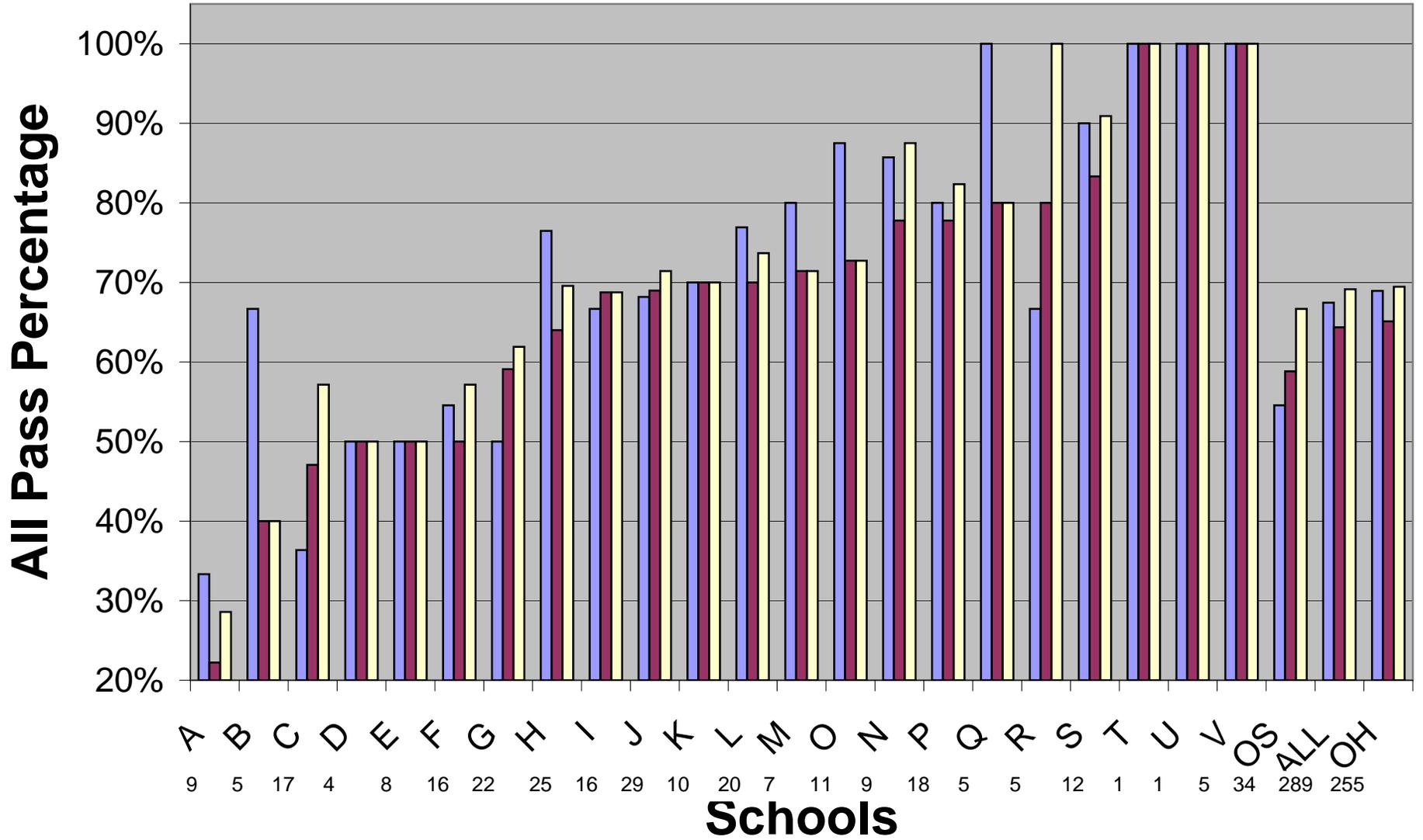
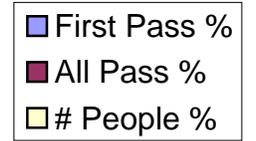
Part of the reason the NCMHCE has a lower pass rate is that examinees are required to pass two separate parts of the exam "information gathering" and "decision making." You could pass either part with a very high score and barely fail the other and not pass.

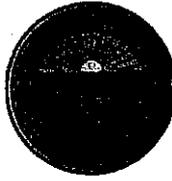
# Ohio NCE 2010

- First Pass %
- All Pass %
- # People %



# Ohio NCMHCE 2010





JOHN R. KASICH  
GOVERNOR  
STATE OF OHIO



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## Executive Order 2011-01K

### Establishing the Common Sense Initiative

**WHEREAS**, competition to attract and retain businesses and jobs has increased among states. To successfully compete in today's economy, Ohio must work proactively to give innovative people and innovative companies reasons to be here, but must also tear down any obstacles that make it more difficult for businesses to operate in Ohio.

**WHEREAS**, the business community is a partner in Ohio's success. As a result, State processes should respect the contributions that businesses make toward job creation. Regulations should facilitate economic growth, and the goal of regulators should be to help businesses comply.

**WHEREAS**, small businesses are disproportionately impacted by regulations, particularly those that are unclear or overly restrictive. Small businesses are the economic engine of the economy. The vast majority of businesses in Ohio, and throughout the country, are small businesses. Small businesses are a vital component in creating new jobs and fostering innovation. However, complying with confusing, duplicative, or ineffective regulations strain their resources and divert effort from job creation and production. In too many cases, Ohio's regulatory framework has worked against, not with, these small businesses.

**WHEREAS**, regulations play an important role in promoting fair competition, protecting the public health, and implementing the intent of the General Assembly. All of Ohio benefits from regulations that are in the public interest and are enforced properly. Protecting the public is always first and foremost, and regulatory compliance increases when regulations are easier to understand and to follow.

**WHEREAS**, Ohio's regulatory process should be built on the foundations of transparency, accountability, and performance. Government must be held accountable to justify that every regulation in place serves a purpose and is implemented in the most effective manner possible. Agencies should develop regulations in the full light of public scrutiny, and the public should have an opportunity to help shape those regulations and to challenge any that are unfair, overly burdensome, or ineffective.

**WHEREAS**, the priority of a strong regulatory system should be compliance, not punishment. State agencies should work with their regulated communities to achieve the goals of

their regulations. Wherever possible, penalties should be waived for first-time violators, especially for administrative matters such as filing and reporting deadlines. Flexibility and simplicity should be emphasized in order to promote compliance. Enforcement actions should be utilized when necessary for entities that have been unwilling to comply.

**WHEREAS**, an open, accountable process will promote a regulatory environment that serves the public interest and contributes to economic development in Ohio.

**NOW THEREFORE**, I, John R. Kasich, Governor of the State of Ohio, by virtue of the authority vested in me by the Constitution and the laws of this State do hereby order and direct that:

1. The Lieutenant Governor is granted the authority to develop and implement the "Common Sense Initiative," a process for independently evaluating the economic impact of agency rules and regulations on small businesses in Ohio. Specifically, the Lieutenant Governor is authorized to:
  - a. Establish a Common Sense Initiative Office ("CSI Office") to review relevant rules and regulations from cabinet-level agencies and State boards and commissions, receive and consider input from all interested parties, issue recommendations to the agencies and the General Assembly, and monitor the implementation of these rules and regulations.
  - b. Hire the appropriate staff to ensure the effectiveness of this Office. While the Lieutenant Governor shall maintain the flexibility to determine its structure, one component of the CSI Office should serve solely to receive the viewpoints of and advocate for the small business community on proposed and existing regulations.
  - c. Develop a workable definition of what is a "small business" for the purpose of implementing the Common Sense Initiative.
  - d. Develop a process for requiring agencies to determine the real or potential economic impact on small businesses from existing or proposed regulations. The Lieutenant Governor shall have the authority, in her sole discretion, to require the agency to conduct a public hearing to assist in determining the economic impact of any regulation or group of regulations.
  - e. Require any changes to the electronic notification system established in Executive Order 2008-04S necessary to implement the goals of this Executive Order. In her discretion, the Lieutenant Governor may work with the Department of Administrative Services and the State Chief Information Officer to develop a new centralized electronic system to further the goals of this Executive Order.
  - f. Serve as a point of contact for small businesses throughout Ohio to voice concerns about the implementation of any rule or regulation. The Lieutenant Governor shall have the authority to require an agency to respond to any specific concern or pattern of concerns about a regulation received through the CSI Office.
  - g. Conduct, or contract to have conducted, an inventory of existing agency rules and regulations to determine those that economically impact small businesses. This inventory shall serve, in part, as a resource to determine which rules, when subject to five-year review by the Joint Committee on Agency Rule Review (JCARR), will also be subject to review by the CSI Office.

- h. Establish a Small Business Advisory Council which will offer the Lieutenant Governor the perspectives of the small business community and provide guidance into the mission and direction of the CSI Office.
  - i. Make recommendations regarding the restructuring of and/or the responsibilities of divisions or functions of State government that relate to small businesses that duplicate the authorities granted to the Lieutenant Governor by this Executive Order.
  - j. Recommend specific measures to allow the Ohio Business Gateway to facilitate ease of use for businesses and better compliance with government regulations.
  - k. Make recommendations to the Governor and General Assembly for legislative changes to promote the goals of this Executive Order.
2. All Cabinet Agencies, Boards, and Commissions (hereafter "agencies") shall comply with any requests or directives from the Lieutenant Governor and/or the CSI Office with regard to regulations that economically impact small businesses. Specifically, directors of cabinet agencies shall ensure that their agencies do the following:
- a. Establish business regulations through the agency rule-making process, unless the agency can demonstrate that it is impossible or impractical to do so.
  - b. Develop rules, regulations, and related communications that are written in plain English to help ensure that affected parties will be able to understand the regulations and comply. Technical terms should be defined so that their meanings are clear to all parties.
  - c. Before filing with JCARR a proposed rule that economically impacts small businesses, determine the real or potential impact of the rule on small business. The agency shall comply with all requirements of the CSI Office, including a determination by the Lieutenant Governor to require a public hearing, in determining the economic impact. When required by the CSI Office, agencies shall also review the impacts on small businesses of regulations that are not established through administrative rules.
  - d. Continue to participate in the electronic notification process established in Executive Order 2008-04S, unless a separate process is established by the Lieutenant Governor.
  - e. Attempt, in all rules and regulations, to properly balance the critical objectives of the regulation and the costs of compliance by the regulated parties. The agency should consider, as early as possible in the development or review of regulations, the perspectives of small businesses. The agency should promote transparency, consistency, predictability, and flexibility in regulatory activities. All efforts shall be made to choose the regulation that accomplishes the regulatory objective and is least burdensome on small businesses.
  - f. Provide transparent and measurable outcomes in each regulation to help the agency and the public determine whether the regulation is effective. The agency should continually evaluate its regulatory framework to ensure that it is accomplishing its regulatory objectives.
  - g. Establish, whenever possible, regulations that can be complied with electronically in order to minimize paperwork and associated costs for businesses.



I signed this Executive Order on January 10, 2011 in Columbus, Ohio and it will expire on my last day as Governor of Ohio unless rescinded before then.

---

John R. Kasich, Governor



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ATTEST:

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Jon Husted, Secretary of State



# *Counselor, Social Worker & Marriage and Family Therapist Board*

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50 West Broad Street, Suite 1075  
Columbus, Ohio 43215-5919  
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## **AGENDA**

**January, 2011**

1. Discussion of Agenda
2. Approval of Minutes of November 19, 2010 Board Meeting
3. Executive Director's Report
4. Deputy Director's Report
5. Legal Update
6. Marriage and Family Therapist Professional Standards Committee Report
7. Counselor Professional Standards Committee Report
8. Social Work Professional Standards Committee Report
9. Standing Committee Reports
  - a. Executive Committee
  - b. Continuing Education Committee
10. Old Business
11. New Business
12. Chairman Comments



## *Counselor, Social Worker & Marriage and Family Therapist Board*

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### **STATE OF OHIO**

### **COUNSELOR, SOCIAL WORKER AND MARRIAGE AND FAMILY THERAPIST BOARD**

**November 19, 2010**

Chairperson, Ms. Jennifer Riesbeck-Lee, at the LeVeque Tower, 50 West Broad Street, Columbus, OH, convened the regular meeting of the Board at 1:00 P.M. on November 19, 2010.

Members present were Mr. Timothy Brady, Mr. Robert Nelson, Ms. Peggy Volters, Ms. Mary Venrick, Mr. Steven Polovick, Mr. Don McTigue, Mr. Tommie Robertson, Mr. John Cranley, Dr. Otha Gilyard, Dr. Victoria Kress, Ms. Maureen Cooper and Dr. Terri Hamm. Absent: Dr. Deirdre Petrich and Dr. Thomas McGloshen. Staff present: Mr. James Rough, Mr. William Hegarty, Ms. Patricia Miller, Ms. Marcia Holleman, Ms. Tracey Hosom and Ms. Tamara Tingle. Also, present Mr. Henry Lustig, NASW, Ms. Jennie Daniels, OSU Student, Atty. Leah O'Carroll, Asst. Atty. General.

Ms. Riesbeck-Lee welcomed new board members Ms. Cooper and Dr. Hamm and re-appointed board members Mr. Nelson and Dr. Gilyard.

- I.** Discussion and approval of agenda. Mr. Cranley moved to approve the agenda, seconded by Mr. Robertson. Carried.
- II.** Dr. Gilyard moved to accept the September 17, 2010, minutes, with corrections, seconded by Ms. Volters. Carried.
- III. Executive Director Report presented by Mr. Rough:**

**Mr. Rough reported:**

1. Welcomed new board members and re-appointed board members. Glad to have everyone.
2. The staff is working well, Ms. Kreinbrink was hired by OSU and while we are sad to lose her, this is a good opportunity for her. Twenty-nine applications have been received so far for the position and the window will close Wednesday. It was a great help that Ms. Kreinbrink was

licensed as a social worker so bonus points will be given if an applicant is licensed.

3. Distributed the number of licensees report, showing an increase in number of licensees by over 3,000 in the last six years. FY 2010 budget report was discussed and the budget so far for FY 2011. The cost of the two year audit was budgeted under that title with an estimated amount. Details of the budget were explained for new board members, and the budget is on schedule. Mr. Robertson questioned if the ethics training for board members was reflected on the budget report, Mr. Rough stated there is no expense for the ethics training. Ms. Cooper questioned what the credit card fee was for and Mr. Rough explained the fee covered online applications and renewals for licensees. Ms. Venrick asked where any extra money in the budget goes and was informed it goes back to the state.

#### **IV. Investigative Report presented by Mr. Hegarty:**

##### **Mr. Hegarty reported:**

1. Thanked the Investigative Liaisons Mr. Brady, Mr. Nelson and Dr. Kress for their hard work and for Ms. Venrick also helping out.
2. Ms. Hosom and Ms. Tingle have both been busy with investigations.
3. Competency has been the main complaint and Cincinnati the area most complaints have been received from.
4. Thirty-five new cases since the last meeting, which is slightly down from this time last year.
5. Counselor denial hearing in January, maybe a second hearing in the afternoon for the social workers. Counselor hearings are booked thru July 2011.
6. The staff has been traveling to many areas including Akron, Athens, Canton, Cincinnati, Cleveland, Columbus, Delaware, Mansfield, Marysville, Moraine, Newark, Rocky River, Tallmadge, West Chester and Xenia.

#### **V. Legal Update presented by Atty. Leah O'Carroll**

##### **Atty. O'Carroll reported:**

1. A notice of appeal regarding confidentiality of investigation materials is currently at the 8<sup>th</sup> District Court of Appeals. A discussion with the board on our language in the statute regarding confidentiality proceeded. The judge ordered the Board to provide investigation materials despite the confidentiality in the statute and without stating why the statute should be ignored.

#### **VI. Social Worker Professional Standards Committee Report was presented by Mr. Nelson:**

##### **Mr. Nelson reported:**

1. Licensed LSW's, LISW's and SWA's.
2. Related degree hearing took place, two consent agreements were approved, and six Goldman hearings were reviewed.
3. Received licensee complaints regarding the boring content of many ethics trainings and discussed a clearer wording of other topics such as cultural diversity that meet the ethics requirement and that the word Ethics does not need to be in the title.
4. Also discussed disclosure statements, fees and continuing education.

**VII. Marriage and Family Therapist Professional Standards Committee Report was presented by Mr. Robertson:**

**Mr. Robertson reported:**

1. Licensed one MFT, four IMFT's and reviewed five requests to take the licensing exam.
2. Discussed the draft rules and were okay with them, also discussed fees for programs and providers
3. Hoping to expand the number of MFT/IMFT licensees. Dr. Petrich and Ms. Adorjan will travel to the University of Akron regarding the MFT program.
4. Discussed MFT training status and Medicare agency reimbursement, working on this for when the statute opens in the next couple years.

**VIII. Counselor Professional Standards Committee Report was presented by Ms. Venrick:**

**Ms. Venrick reported:**

1. Licensed seventy-five PC's, twenty-nine PCC's, and reviewed continuing education.
2. Closed ten cases, approved one remediation plan, answered ten correspondences, some regarding the fifteen hour limit for online programs, and reviewed five Goldman hearings.
3. Met with Ohio Rehabilitation Association regarding the denial of their provider status.
4. Approved changes to Bowling Green State University program.
5. Nineteen individuals took the NCE and NCMHC exam, ten passed and nine failed.
6. Discussed the language to be used when supervisors are signing off.

**IX. Committee Reports**

**CEU Committee**

Mr. Polovick reported that the committee discussed:

- Survey monkey results for Continuing Education programs continues to be excellent.
- Program and provider fees, and the amount needed to support a new staff person.
- The topic of spirituality.
- The continuing issue with the provider INR, they are not responding appropriately to letters and phone calls regarding inappropriate programs offered to counselors. If no appropriate response is received by January 2011, their provider status may be revoked. The NASW approval causes a problem since INR has their approval and if the board revokes their social work provider status their programs would still be accepted through NASW.
- Ms. Riesbeck-Lee stated she felt positive about agencies coming in to discuss denied provider status and program requests.

### **Investigations Ad Hoc Committee**

No Report.

### **Executive Committee**

Ms. Riesbeck-Lee Reported:

- Policy 1.8 combined the Personnel and Executive Committee into only the Executive Committee. Approved the policy as it stands and discussed making the Ad Hoc Investigative Liaison Committee into a standing committee.

## **X. Old Business:**

### **Provider and Program Fees**

- Ms. Volters stated the purpose of the fee is to fund the new position whatever the decided amount.
- Mr. Rough suggested either everyone has a fee or no fee, exceptions become difficult, suggested amounts of \$25.00 per program and \$100.00 per provider request and renewal every two years.
- Dr. Gilyard requested the decision be sensitive to the economy.
- Mr. Cranley stated if no fee for attendees is charged then no fee to approve their program, requesting this exception even if it creates administrative difficulties. Also suggested if an agency is charging a fee below the hourly cost to them then the board would charge no fee.
- Mr. Robertson stated this is a discussion and not a motion but we need to move forward.
- Mr. Cranley calculated the money to be made through this action.
- Dr. Gilyard requested the public be told the justification for charging this fee.
- Mr. Polovick asked how many large providers the board had at this time, Ms. Miller estimated maybe twenty out of six hundred.

- Ms. Riesbeck-Lee stated that quality of the programs is the main focus and not the price.
- Ms. Miller added that since the Board accepts NASW approval if the fee is higher than what they charge it could cause a competitive program approval request.
- Mr. Cranley requested Mr. Rough put the proposal out there.
- Mr. Polovick stated when it came to fines the amount will be different.
- Mr. Rough stated if offering a program after the expiration the fine will be great.
- Ms. Cooper asked where the money from the fines will go and Mr. Rough stated they would be deposited in the Board's fund at the Treasurer's office. Funds in excess of the Board's appropriation may be taken for General Revenue expenses.

**XI. New Business:**

-None

**XII. Adjourned:**

Ms. Riesbeck-Lee thanked the Board for having her attend the ASWB conference in New Orleans last week, there was little cost to the Board and the information was very beneficial. The topics included changes to the licensure exam, a new exam vendor, security and palm technology.

Thanked Ms. Kreinbrink for her hard work and dedication and expressed appreciation for her work at the board and wished her well at her new position with Ohio State University and feels Ms. Kreinbrink will represent the board well.

Ms. Riesbeck-Lee wished everyone a Happy Holiday.

Ms. Riesbeck-Lee declared the meeting adjourned at 2:10 p.m.

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Board Chair

**CSWMFT Board Disbursements in FY 2011 through 12/31/2010**

Account	CSWMFT Projected & Actual Expenses Expense Title	FY 2011 Projected	FY 2011 Actual	FY 2010 PD in FY11
513603	SEMINARS & REGISTRATION IN/ST	\$75.00		
514900	AASCB, ASWB & AMFTRB MEMBERSHIP	\$5,100.00		\$2,600.00
514901	OHIO INVESTIGATOR ASSOC. MEMBERSHIP (3)	\$ -		
514903	COLLEGE ACCREDITATION PUBLICATION	\$71.00		
514904	LEGISLATIVE REPORT SUBSCRIPTION	\$1,050.00	\$200.00	
514905	SURVEY MONKEY	\$200.00		
515502	HEARING OFFICER			
515503	COURT REPORTER	\$680.00	\$341.50	
515505	HEARING OFFICERS RC 119	\$5,000.00	\$260.00	\$2,000.00
515509	SUBPOENA FEES	\$125.00	\$113.65	
517001	PUR PERS SER-DATA PROC PERSON	\$3,500.00	\$3,500.00	
519800	INSURANCE - OFFICE CONTENTS	\$215.00		
521101	OFFICE SUPPLIES & EQUIPMENT	\$2,675.00	\$1,411.40	
521104	DUPLICATING AND PAPER SUPPLIES	\$775.00	\$91.75	
521105	DATA PROCESSING SUPPLIES&EQUIP	\$ -	\$140.41	
521222	EMPLOYEE ASSISTANCE PROGRAM	\$168.00	\$56.00	
523600	OAKS TRAVEL - BOARD MEMBERS		\$8,911.87	
523600	OAKS TRAVEL - STAFF	\$23,000.00	\$3,236.45	
524201	T-1 LINE	\$5,978.00	\$2,250.00	\$900.00
524301	DHL & UPS DELIVERY	\$ -		
526103	PRINTER & SCANNER MAINT	\$400.00	\$216.00	
526105	SHREDDING SERVICE	\$625.00	\$128.70	\$50.00
526121	COPYING MACHINE SERVICE	\$4,900.00	\$608.00	\$334.09
526203	EMPLOYEE STATE ID	\$10.00		
526701	SCANNER MAITENANCE CONTRACT	\$977.00	\$977.00	
527201	ITEMS IN STORAGE RENTAL	\$2,000.00	\$330.80	\$159.88
527301	OFFICE RENT	\$45,204.00	\$22,602.00	
529201	AUDITOR OF STATE	\$11,000.00	\$10,082.50	
529201	SHARED SVCS - TRAVEL PROCESSING	\$324.00	\$324.00	
529201	IT SERVICES - EMAIL- ROUTER- ETC	\$300.00	\$32.82	\$8,288.14
	Insurance for Office		\$333.62	
	Real Estate Fee		\$226.02	
	Telephones	\$6,500.00	\$521.70	
	Router	\$3,660.00	\$290.38	
	Printing	\$10,500.00	\$3,988.66	
529205	CENTRAL SERVICES AGENCY - FINANCE & HR	\$36,000.00	\$10,967.90	
529207	PRESORT MAIL POSTAGE	\$13,000.00	\$4,555.46	\$1,325.31
529208	DAS COMPUTER USAGE	\$49.22	\$49.22	\$1,823.75
	PC Support	\$882.00		
	eLicensing Support	\$4,000.00		
	eLicensing Cost	\$18,732.00	\$18,732.00	
	Computer Usage, Email & LAN	\$6,500.00	\$1,835.02	
529214	ETHICS COMMISSION FEES	\$920.00		
529823	MISCELLANEOUS EXPENSE REIMBURSEMENTS	\$50.00	\$38.58	
529929	BANK CHARGES CREDIT CARD	\$26,000.00	\$7,496.51	\$5,193.13
531101	PC or SERVER REPLACEMENT	\$4,500.00		\$8,204.00
595602	OTHER REFUNDS	\$300.00	\$115.00	
	<b>Total</b>	<b>\$245,945.22</b>	<b>\$104,964.92</b>	<b>\$30,878.30</b>
	*Total Non-Payroll Appropriation	\$263,738.00		
	Projected excess (-\$) or deficit (+\$)	(\$17,792.78)		

Total Spent

\$135,843.22

**FY 20110 Revenues CSWMFT Board**

**as of 12/31/2010**

<b>Account Title</b>	<b>Renewals &amp; Applications</b>	<b>Late Fees</b>	<b>L&amp;R-CE-Ver</b>	<b>Total Revenues</b>
HOLD	\$ 13,565.00			\$ 13,565.00
MISCELLANEOUS - Verification Fees	\$ -		\$ 5,250.00	\$ 5,250.00
<b>Board Miscellaneous</b>	<b>\$ 13,565.00</b>		<b>\$ 5,250.00</b>	<b>\$ 18,815.00</b>
LICENSED SOCIAL WORKER RENEW	216,880.02	\$ 10,540.00	L&R-SWapps	\$ 227,420.02
LICENSED SOCIAL WORKER APP	28,200.00		\$ 6,880.00	\$ 35,080.00
LIC INDEP SOCIAL WORKER RENEW	119,250.00	\$ 3,800.00	CE-SW	\$ 123,050.00
LIC INDEP SOCIAL WORKER APP	15,900.00		\$ 1,380.00	\$ 17,280.00
SOCIAL WORKER ASSIST RENEW	4,440.00	\$ 340.00		\$ 4,780.00
SOCIAL WORKER ASSIST APP	1,720.00			\$ 1,720.00
LICENSED SOCIAL WORKER TEMP	200.00			\$ 200.00
<b>SWPSC Total</b>	<b>\$ 386,590.02</b>	<b>\$ 14,680.00</b>	<b>\$ 8,260.00</b>	<b>\$ 409,530.02</b>
PROFESSIONAL COUNSELOR RENEW	46,560.00	\$ 2,920.00	L&R-PCapps	\$ 49,480.00
PROFESSIONAL COUNSELOR APP	15,900.00		\$ 4,000.00	\$ 19,900.00
PROF CLINICAL COUNSELOR RENEW	72,600.00	\$ 2,000.00	CE-PC	\$ 74,600.00
PROF CLINICAL COUNSELOR APP	9,750.00		\$ 1,860.00	\$ 11,610.00
PROFESSIONAL COUNSELOR EXAM	\$ -			\$ -
<b>CPSC Total</b>	<b>\$ 144,810.00</b>	<b>\$ 4,920.00</b>	<b>\$ 5,860.00</b>	<b>\$ 155,590.00</b>
MAR & FAMILY THERAPIST RENEW	840.00	\$ -	L&R-MFTapps	\$ 840.00
MAR & FAMILY THERAPIST APP	740.00		\$ 150.00	\$ 890.00
INDEP MAR & FAM THERAPST RENEW	2,850.00	\$ 320.00	CE-MFT	\$ 3,170.00
INDEP MAR & FAM THERAPIST APP	300.00		\$ -	\$ 300.00
<b>MFTPSC Total</b>	<b>\$ 4,730.00</b>	<b>\$ 320.00</b>	<b>\$ 150.00</b>	<b>\$ 5,200.00</b>
<b>Board Total</b>	<b>\$ 549,695.02</b>	<b>\$ 19,920.00</b>	<b>\$ 19,520.00</b>	<b>\$ 589,135.02</b>

L&R is laws and rules exam  
 CE is laws and rules CEU online  
 VER is license verification

## CSWMFT Board Budget Report as of 1/10/2011

Fund Code	Account	ALI	Department	Budget Period	Budget	Expense	Encumbrance	Available \$	% Available
4K90	500	899609	CSW	2011Q1	223,008.44	223,008.44	0	0	0
4K90	500	899609	CSW	2011Q2	234,549.09	225,615.09	0	8,934.00	3.81
4K90	500	899609	CSW	2011Q3	205,614.00	31,669.23	0	173,944.77	84.6
4K90	500	899609	CSW	2011Q4	205,311.00	0	0	205,311.00	100
4K90	510	899609	CSW	2011	14,400.00	2,665.15	4,740.00	6,994.85	48.58
4K90	520	899609	CSW	2011	248,652.00	108,049.51	84,400.56	56,201.93	22.6
4K90	530	899609	CSW	2011	471	0	0	471	100
4K90	595	899609	CSW	2011	215	115	0	100	46.51
4K90	601	899609	CSW	2011	14,350.47	0	0	14,350.47	100

FY 2011	1,146,571.00	591,122.42		
Payroll	882,833.00	480,292.76		388,189.77
Non-Payroll	263,738.00	110,829.66	89,140.56	78,118.25
Cost Savings Day	14,350.47			

Payroll Projected	846493.00
CSD Projected	37541.96
	884034.96
Projected Shortage	1201.96

License count CSWMFT Board  
January 3, 2011

CSW - # of Cred by Prefix & Status		
Report generated on 1/3/2011 at 9:46:23 AM		
Prefix	Credential Status	Count
C	ACTIVE	4669
C	ACTIVE IN RENEWAL	325
C	ACTIVE IN RENEWAL - CE PENDING	2
C	ACTIVE IN RENEWAL - PAID	101
C	Application Incomplete	91
C	DECEASED	11
C	DENIED	88
C	EXAM PENDING	739
C	EXPIRED	7319
C	FAILED TO RENEW	378
C	FAILED TO RENEW - PAID	12
C	LAPSED	1
C	NEVER LICENSED	305
C	PENDING	316
C	REVOKED	3
C	Surrendered in Lieu of Discipline	4
C	SUSPENDED	2
C	UPGRADE	5001
<b>Credential Prefix Totals</b>		<b>19367</b>
E	ACTIVE	3826
E	ACTIVE IN RENEWAL	408
E	ACTIVE IN RENEWAL - PAID	120
E	Application Incomplete	69
E	DECEASED	21
E	DENIED	3
E	EXAM PENDING	314
E	EXPIRED	1130
E	FAILED TO RENEW	207
E	FAILED TO RENEW - PAID	15
E	NEVER LICENSED	5
E	PENDING	37
E	REVOKED	12
E	Surrendered in Lieu of Discipline	8
E	SUSPENDED	5
E	UPGRADE	479
<b>Credential Prefix Totals</b>		<b>6659</b>
F	ACTIVE	165
F	ACTIVE IN RENEWAL	14
F	ACTIVE IN RENEWAL - PAID	2
F	Application Incomplete	8
F	DECEASED	2
F	DENIED	1
F	EXAM PENDING	2
F	EXPIRED	19
F	FAILED TO RENEW	19
F	FAILED TO RENEW - PAID	1
F	NEVER LICENSED	3
F	PENDING	4
<b>Credential Prefix Totals</b>		<b>240</b>
I	ACTIVE	6733
I	ACTIVE IN RENEWAL	570
I	ACTIVE IN RENEWAL - CE PENDING	5
I	ACTIVE IN RENEWAL - PAID	147
I	Application Incomplete	3
I	DECEASED	40

**ACTIVE**

**3601**

**4368**

**182**

CSW - # of Active Cred by Prefix & Stat			
Report generated on 1/3/2011 at 10:00:35 AM			
Prefix	Subcat	Credential Status	Count
C		ACTIVE	2857
C		ACTIVE IN RENEWAL	303
C		ACTIVE IN RENEWAL - PAID	85
C		FAILED TO RENEW - PAID	11
C	CR	ACTIVE	769
C	PROV	ACTIVE	6
C	SUPV	ACTIVE	307
C	SUPV	ACTIVE IN RENEWAL	22
C	SUPV	ACTIVE IN RENEWAL - PAID	16
C	TRNE	ACTIVE	620
E		ACTIVE	1570
E		ACTIVE IN RENEWAL	170
E		ACTIVE IN RENEWAL - PAID	41
E		FAILED TO RENEW - PAID	4
E	SUPV	ACTIVE	2256
E	SUPV	ACTIVE IN RENEWAL	238
E	SUPV	ACTIVE IN RENEWAL - PAID	79
E	SUPV	FAILED TO RENEW - PAID	10
F		ACTIVE	165
F		ACTIVE IN RENEWAL	14
F		ACTIVE IN RENEWAL - PAID	2
F		FAILED TO RENEW - PAID	1
I		ACTIVE	2295
I		ACTIVE IN RENEWAL	212
I		ACTIVE IN RENEWAL - PAID	49
I		FAILED TO RENEW - PAID	2
I	SUPV	ACTIVE	4438
I	SUPV	ACTIVE IN RENEWAL	358
I	SUPV	ACTIVE IN RENEWAL - PAID	97
I	SUPV	FAILED TO RENEW - PAID	2
M		ACTIVE	67
M		ACTIVE IN RENEWAL	6
M		ACTIVE IN RENEWAL - PAID	3
S		ACTIVE	13381
S		ACTIVE IN RENEWAL	1560
S		ACTIVE IN RENEWAL - PAID	369
S		FAILED TO RENEW - PAID	29
S	TEMP	ACTIVE	1
S	TRNE	ACTIVE	423
W		ACTIVE	509
W		ACTIVE IN RENEWAL	77
W		ACTIVE IN RENEWAL - PAID	12

Active totals include Active, Active-In-Renewal, Active in Renewal-Paid and Failed to Renew-PAID For PC, PCC LSW & LISW totals come from totals on right. Those totals include all from right columns except CRs, SWTs & TRNEs.

Credential Prefixes  
**C= PC M= MFT**  
**E= PCC R= counselor assistant**  
**F= IMFT S= LSW**  
**I= LISW W= SWA**

License count CSWMFT Board  
January 3, 2011

I	DENIED	2	
I	EXPIRED	3489	
I	FAILED TO RENEW	466	
I	FAILED TO RENEW - PAID	4	
I	NEVER LICENSED	32	
I	PENDING	194	
I	REVOKED	11	
I	Surrendered in Lieu of Discipline	3	
I	SUSPENDED	5	
I	UPGRADE	5136	
<b>Credential Prefix Totals</b>		16840	<b>7453</b>
M	ACTIVE	67	
M	ACTIVE IN RENEWAL	6	
M	ACTIVE IN RENEWAL - PAID	3	
M	Application Incomplete	39	
M	DECEASED	1	
M	DENIED	11	
M	EXAM PENDING	52	
M	EXPIRED	13	
M	FAILED TO RENEW	5	
M	NEVER LICENSED	2	
M	PENDING	7	
M	UPGRADE	16	
<b>Credential Prefix Totals</b>		222	<b>76</b>
R	EXPIRED	523	
R	REVOKED	2	
<b>Credential Prefix Totals</b>		525	
S	ACTIVE	13818	
S	ACTIVE IN RENEWAL	1560	
S	ACTIVE IN RENEWAL - CE PENDING	7	
S	ACTIVE IN RENEWAL - PAID	372	
S	Application Incomplete	2	
S	BAD CHECK	1	
S	DECEASED	47	
S	DENIED	61	
S	EXPIRED	18590	
S	FAILED TO RENEW	1416	
S	FAILED TO RENEW - PAID	31	
S	NEVER LICENSED	337	
S	PENDING	1560	
S	REVOKED	60	
S	Surrendered in Lieu of Discipline	10	
S	SUSPENDED	15	
S	UPGRADE	3013	
<b>Credential Prefix Totals</b>		40900	<b>15339</b>
W	ACTIVE	511	
W	ACTIVE IN RENEWAL	77	
W	ACTIVE IN RENEWAL - PAID	12	
W	DECEASED	2	
W	DENIED	29	
W	EXPIRED	1603	
W	FAILED TO RENEW	252	
W	NEVER LICENSED	28	
W	PENDING	88	
W	REVOKED	10	
W	Surrendered in Lieu of Discipline	1	
W	UPGRADE	145	
<b>Credential Prefix Totals</b>		2758	<b>598</b>
<b>Grand Totals</b>		87511	<b>31617</b>

**January 20, 2011, Board Dinner – 6:00 p.m.**

The Florentine Restaurant  
907 West Broad Street  
Columbus, OH 43222  
(614) 228-2262

We will be having our January Board dinner at The Florentine restaurant. It is a family casual place. Lots of good Italian food! They have a nice mix of salads, pastas and meat entrees. They will be providing separate checks. There is a parking lot next to the restaurant

If you cannot attend, please contact me at (614) 728-4360 or at [bill.hegarty@cswb.state.oh.us](mailto:bill.hegarty@cswb.state.oh.us) and let me know so I can adjust the reservation. Also, if you are planning on bringing a guest, I will need to know that to include in the reservations. Thank you all!

Directions from the Board offices

1. West on West Broad Street for 1.25 miles. Restaurant is on the left hand side