



Counselor, Social Worker & Marriage and Family Therapist Board

50 West Broad Street, Suite 1075
Columbus, Ohio 43215-5919
614-466-0912 & Fax 614-728-7790
www.cswmft.ohio.gov & cswmft.info@cswb.state.oh.us

July 31, 2012

The Honorable John Kasich
Governor's Office
Riffe Center, 30th Floor
77 South High Street
Columbus, OH 43215-6108

Dear Governor Kasich,

Pursuant to the requirement of ORC 149.01, this annual report for FY 2012 of the State of Ohio Counselor, Social Worker and Marriage and Family Therapist Board is being submitted. I am pleased to highlight the Board's accomplishments.

The mission of the Counselor, Social Worker, and Marriage and Family Therapist Board is to protect the citizens of the state of Ohio through the effective and efficient regulation of the practices of counseling, social work and marriage and family therapy as identified in Ohio Revised Code and Ohio Administrative Code Chapters 4757. This mission is accomplished by the review of applicants' credentials, the administration of licensure examinations, approving professional education program offerings, and the establishment and enforcement of professional and ethical standards of practice.

Within fiscal year 2012, the Board has welcomed one new board member: Jennifer Hadden, LSW, to the Social Worker Professional Standards Committee and Dr. Thomas McGloshen was reappointed for a second term to the Marriage and Family Therapist Professional Standards Committee. The Board looks forward to future appointments of four additional members currently pending.

The Board held regular meetings of the Professional Standards Committees (on each of the following first-listed dates) and of the full Board (on the second-listed of the dates for each month): July 21 & 22, 2011, September 15 & 16, 2011, November 17 & 18, 2011, January 19 & 20, 2012, March 15 & 16, 2012 and May 17 & 18, 2012.

The Board held 5 hearings of which one was disciplinary and four were licensure denials. Fourteen licenses were revoked through the Goldman process. The Board entered into 32 consent agreements to resolve complaints. The Investigations Unit opened 272 investigation cases, which covered the following complaint areas or alleged issues:
The Board opened 272 new cases in fiscal year 2012.

<u>Alleged Issues</u>	<u>Number Made</u>
• Boundary Violation (Non-Sexual)	25
• Boundary Violation (Sexual)	14
• Breach of Confidentiality	13
• Competency	53
• Custody Dispute Issues	19
• Failure to Report	2
• Felony Conviction	2
• Impaired Practitioner	8
• Improper Billing	16
• Improper Renewal	66
• Misdemeanor in Course of Practice	1
• Misrepresentation of Credentials	5
• No Issue Found	1
• Poor Record Keeping / Falsification of Record	23
• Practice outside of Scope	5
• Practicing on a Lapsed License	4
• Practicing without a License/Forged Licensure	10
• Sexual Harassment at Work	1
• Soliciting Agency Clients for Private Practice	3
• <u>Not Yet Determined</u>	<u>1</u>
Total	272

The board concluded 261 cases in the fiscal year, 59 of which opened in prior fiscal years.

<u>Found Issues</u>	<u>Number Closed</u>	
• Boundary Violation (Non-Sexual)	15	
• Boundary Violation (Sexual)	1	
• Breach of Confidentiality	7	
• Competency	17	
• Custody Dispute Issues	9	
• Failure to Report	1	
• Felony Conviction	1	
• Impaired Practitioner	7	
• Improper Billing	4	
• Improper Renewal	54	
• Misdemeanor in Course of Practice	2	
• Misrepresentation of Credentials	1	
• Poor Record Keeping / Falsification of Record	15	
• Practicing on a Lapsed License	5	
• Practicing without a License/Forged Licensure	3	
• <u>No Violation Found*</u>	<u>119</u>	*see * items in next list
Total	261	

The board concluded 261 cases in the fiscal year, 59 of which opened in prior fiscal years. CA indicated below means the case closed by a consent agreement.

<u>Issue Results</u>	<u>Number Closed from FY 2012</u>
• CSW - CA Ethics Coursework	1
• CSW - CA Mental Health Treatment-Evaluation	1
• CSW - CA OTHER	1
• CSW - CA Reprimand	2
• CSW - CA Reprimand/Ethics	3
• CSW - CA Supervision	1
• CSW - CA Supervision/Ethics	3
• CSW - CA Supervision/Mental Health Treatment-Evaluation	3
• CSW - CA Surrender	10
• CSW - CA Suspension	8
• CSW - CA Suspension/Ethics/Supervision	1
• CSW - Cannot Substantiate*	30
• CSW - Close - Complainant withdrew*	3
• CSW - Close - Referred to other agency	1
• CSW - Close No Jurisdiction *	16
• CSW - Close No Violation *	70
• CSW - Close With Caution	94
• <u>CSW - Notice/Revocation</u>	<u>13</u>
Total	261

The Board licensed or registered 4,032 new professional licenses, registrations and designations:

- 538 Professional Counselors
- 337 Professional Counselor – Clinical Residents
- 545 Counselor Trainees
- 30 Professional Counselor Provisional
- 3 Supervising Professional Counselors
- 291 Professional Clinical Counselors
- 145 Supervising Professional Clinical Counselors
- 76 Social Work Assistants
- 1091 Social Workers
- 4 Social Worker Temporaries
- 338 Social Worker Trainees
- 382 Independent Social Workers
- 206 Supervising Independent Social Workers
- 31 Marriage and Family Therapists
- 15 Independent Marriage and Family Therapists

The Board's testing vendors in calendar year 2011 offered:

- 815 Basic examinations for licensure as a social worker with a pass rate of 79.6%;
- 292 Advanced examinations for licensure as an independent social worker with a pass rate of 34.5%; and
- 698 Clinical examinations for licensure as an independent social worker with a pass rate of 64.0%.
- 535 National Counselor Examination (NCE) for Professional Counselor with a pass rate of 91.3%.
- 366 National Clinical Mental Health Counselor Examination (NCMHCE) for Professional Clinical Counselor with a pass rate of 67.2%.
- 23 examinations for Marriage and Family Therapist with a pass rate of 86.9%.

(These pass rates reflect the total number of candidates who have taken the examination, including results from candidates who may have experienced multiple failures.)

The Board receives all of its funding through licensee fees and does not receive any general revenue funds. The Board disbursed \$205,508.89 for FY 2012 in expenses and \$897,038.01 in payroll for a total of \$1,102,546.90. The Board also expended \$20,895.81 from FY 2011 encumbrances paid in FY 2012. The Board has encumbrances of \$26,684.05 against the remaining FY 2011 appropriation. The Board revenues were \$1,251,085.

Processed 1,640 applications for continuing professional education program approval and reviewed 1,982 requests for post program approval. The Board currently has 600 active continuing professional education provider organizations of which 262 renewed in FY 2012 (two year approvals) and 48 new providers were approved.

The board established goals for FY 2012 at a planning meeting held in July 2011.

- Significant progress has been made in the area of our statute's civil service exemption. The board worked with interested associations and state agencies that have licensed counselors, social workers and marriage and family therapists and has reached a general agreement that the civil service exemption is no longer needed. House Bill 567 in the 129th General Assembly was introduced by Representative Sears and includes a provision to amend the current exemptions for civil service employees. The bill also includes many other significant changes to improve the administration and efficiency of the board's processes. The bill also amends many sections of the Revised Code to include the board's licensees in the professional associations, professional corporations and limited liability companies.
- The Board is focused on improving its use of technology, which includes refining the use of the eLicensing system. The eLicensing system continues to be effective in the online renewal process used by over 97.0% of renewing licensees. This process is quicker, easier and more cost effective for licensees and the Board. The Board has online applications for most of its license and registration processes. The social work department has implemented several of the technology options in the eLicensing system not used previously and has greatly improved the process of social worker licensure. The Board is updating its web site regularly based on feedback from users to make it more efficient and effective.

- The Board implemented a Listserv during fiscal year 2012. The Board's newsletter is now promulgated via the Listserv to all licensees for which we have an email. This process eliminated the printing costs and reaches more licensees with updates on laws and rules changes and important information on issues of concern across a broad spectrum of licensees. The Board also initiated a Facebook presence with links to the newsletter articles and other matters of interest.
- The continuing education program review procedures continue to grow and tax our ability to effectively provide oversight. The Board has a committee to review these processes to look for improvements in many areas including the approval process, required documentation, forms, program content, etc. The biennial budget for fiscal years 2012 and 2013 included fees for continuing education approvals by the board, which also will provide funding to improve our audits of continuing education completion by licensees and a closer review of continuing education providers' compliance with board rules.

Board member attendance at board meetings averages 87.6% with 66.7% attendance being the lowest attendance rate. Eight of fifteen board members have perfect attendance.

The Board believes that this report provides evidence that the Board has fulfilled its mission in Fiscal Year 2012. Thank you for your interest and support.

Sincerely,

James R. Rough
Executive Director

CC: President of the Senate Thomas E. Niehaus
Speaker of the House William G. Batchelder
State Library